UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	02581-P0544A
First Inventor	Uwe Bacher
Title	Medical Instrument
Express Mail Label No.	EL 574 206 249 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents
Box Patent Application
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form *(e.g., PTO/SB/17)*
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status
See 37 CFR 1.27.

3. ☒ Specification   [Total Pages **13**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

4. ☒ Drawings(s) *(35 USC 113)*   [Total Sheets **3**]

5. Oath or Declaration   [Total Pages **0**]

   a. ☐ New executed (original or copy)

   b. ☐ Copy from prior application (37 CFR 1.63(d))
   (for continuation/divisional with Box 18 completed)

      i. ☐ DELETION OF INVENTOR(S)
      Signed statement attached deleting inventors(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

   a. ☐ Computer Readable Form (CRF)

   b.: Specification Sequence Listing on:

      i. ☐ CD-ROM or CD-R (2 copies); or

      ii. ☐ paper

   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*   ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☒ Information Disclosure Statement (IDS)/PTO-1449   ☒ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent.

17. ☐ Other...

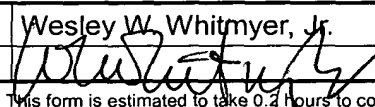*[side margin: PTO U.S. 22264 10/662759 09/15/03]*

18. If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*

☐ Continuation ☐ Divisional ☐ Continuation-in-part   of prior application No.:

Prior application information: Examiner *Group/Art Unit:*   Priority Claimed: DE 02 020 720.5 September 14, 2002

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label   **24126**   ☒ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Wesley W. Whitmyer, Jr.				
Address	St.Onge Steward Johnston & Reens LLC				
	986 Bedford Street				
City	Stamford	State	CT	Zip Code	06905-5619
Country	United States	Telephone	203 324-6155	Fax	203 327-1096

Name (Print/Type)	Wesley W. Whitmyer, Jr.	Registration No. (Attorney/Agent)	33,558
Signature		Date	9/15/03

PTO/SB/17 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

16623 .09/15/03 U.S. PTO

FEE TRANSMITTAL
for FY 2003
Effective 01/01/2003. Patent fees are subject to annual revision

C mplete if Known	
Application No.	- Pending
Filing Date	September 15, 2003
First Named Inventor	Uwe Bacher
Examiner Name	
Art Unit	
Attorney Docket Number	02581-P0544A WWW

☐ Applicant claims small entity status, See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	($) 750.00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number	19-4516
Deposit Account Name	St.Onge Steward Johnston & Reens LLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fees(s) during he pendency of this application
☒ Charge fees(s) indicated below, **except for the filing fee** to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1101	750	2001	375	Utility filing fee	750.00
1002	330	2202	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
		SUBTOTAL (1)	($)		750.00

2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below		Fee Paid
Total Claims	9	-20** = 0	x	=	
Independent Claims	1	-3** = 0	x	=	
Multiple Dependent		0		=	

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee Code	Fee Description	
1201	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claims, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 over original patent	
		SUBTOTAL (2)	($)		-0-

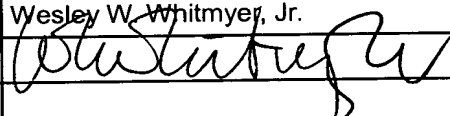** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing for or oath	
1052	50	2052	25	Surcharge – late provisional filing or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for *ex parte reexamination*	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	($)	-0-

SUBMITTED BY	St.Onge Steward Johnston & Reens LLC		Complete (if applicable)	
Name (Print/Type)	Wesley W. Whitmyer, Jr.	Registration No (Attorney/Agent) 33,558	Telephone	203 324-6155
Signature			Date	9/15/2003

Express Mail No. EL 574 206 249 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	Uwe Bacher
Serial No. - Pending	September 15, 2003
Title of Application:	Medical Instrument

Mail Stop Patent Application
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

Cover Sheet For Three Sheets Of Drawings

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